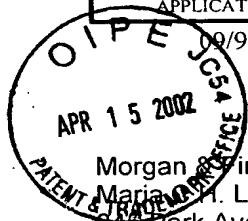




UNITED STATES PATENT AND TRADEMARK OFFICE

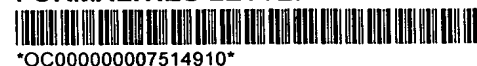
COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/997,445	11/29/2001	Lexun Xue	2896-4005



Morgan & Finnegan L.L.P.
Maria H. Lin
345 Park Avenue
New York, NY 10154-0053

CONFIRMATION NO. 3445
FORMALITIES LETTER



OC000000007514910

Date Mailed: 02/21/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Repl: Ref: 06/03/2002 MNARML 0007062700
Date: 04/18/2002 Name/Number: 09997445
FC: 105 \$65.00 CR

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- **The balance due by applicant is \$ 260.**
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- figures contain text that is not in English (including, for example, a flow chart that is originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

Adjustment date: 06/03/2002 MNARML
04/18/2002 MLAKEM1 00000064 09997445
01 FC:105

04/18/2002 MLAKEM1 00000064 09997445

01 FC:105 130.00 OP

Adjustment date: 05/31/2002 MNARML
04/19/2002 MLAKEM1 00000021 134500 09997445
01 FC:139 130.00 CR

06/03/2002 MNARML 00000001 134500 09997445

01 FC:139 130.00 CH

06/03/2002 MNARML 00000002 09997445

01 FC:205

65.00 OP

04/18/2002 MLAKEM1 00000021 134500 09997445

01 FC:139 130.00 CH

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09597445, 044506



113

Docket No. 2896-4005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lexun Xue et al.
Serial No. : 09/997,445
Filing Date : November 29, 2001
Group Art Unit : TBA
For : Transgenic Dunaliella Salina As a Bioreactor
Commissioner for Patents
Washington, DC 20231

TRANSMITTAL LETTER

Dear Sir:

Enclosed herewith for filing are:

1. Response to Notice to File Missing Parts Application;
2. Copy of Notice To File Missing Parts Application;
3. Executed Combined Declaration and Power of Attorney;
4. English translation of Application;
5. Check of \$130.00 for fee;
6. Submission of Priority Documents;
7. Certified copy of Chinese Application Nos. 00131217.0 and 01128486.2;
8. Request for Refund;
9. Two executed Statement Claiming Small Entity Status;
10. Express Mail Certificate # EV 062 746 614; and
11. Postcard - self addressed and stamped.

The Commissioner is hereby authorized to charge any deficiency in payment or credit any overpayment to Account No. 13-4500. **Order No. 2896-4005.**

Please date, stamp and return the postcard to the undersigned as evidence of receipt.

07/02/2002 MMARNDL 00000009 134500 09997445

01 FC:116 400.00 CH

Date: April 15, 2002

Morgan & Finnegan, L.L.P.
345 Park Avenue
New York, New York 10154
Tel: (212) 758-4800
Fax: (212) 751-6849

Respectfully Submitted,
MORGAN & FINNEGAN, LLP

Maria C.H. Lin
Reg. No. 29,323



1638

Docket No. 2896-4005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lexun Xue et al.
Serial No. : 09/997,445
Filed : November 29, 2001
For : Transgenic Dunaliella Salina As a Bioreactor
Group Art Unit : 1638
Examiner : TBA

#14

RECEIVED

APR 16 2003

TECH CENTER 1600/2900

CERTIFICATE OF MAILING (37 C.F.R. §1.8(a))

Commissioner for Patents
Washington, DC 20231

Sir:

I hereby certify that the attached:

1. Status Inquiry;
2. Return postcard

along with any paper(s) referred to as being attached or enclosed and this Certificate of Mailing are being deposited with the United States Postal Service on date shown below with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, DC 20231.

Date: April 10, 2003

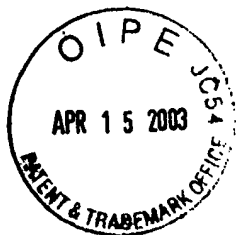
Susan Shen

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile



Docket No. 2896-4005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Lexun Xue et al.

Group Art Unit: 1638

Serial No.: 09/997,445

Examiner: TBA

Filed: November 29, 2001

For: Transgenic Dunaliella Salina As a Bioreactor

Commissioner for Patents
Washington, D.C. 20231

RECEIVED

APR 16 2003

STATUS INQUIRY

TECH CENTER 1600/2900

Sir:

This is to inquire into the status of the above identified application.

The above identified application was filed on November 29, 2001. A response to the Notice of Incomplete Reply was filed on June 19, 2001. A Notice of Recordation of Assignment and an updated filing receipt was received from the USPTO on June 24, 2002 and July 15, 2002 respectively.

No further action has been received since July 15, 2002 to the present.

Applicants would appreciate a report on the status of this application.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: April 10, 2003

By:

Maria C.H. Lin
Registration No. 29, 323

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, NY 10154-0053
(212) 758-4800 Telephone
(212) 751-6849 Facsimile

#12

PATENT
Docket No. 2896-4005



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Lexun Xue et al.
Serial No. : 09/997,445
Filing Date : November 29, 2001
Group Art Unit: : TBA
For : Transgenic Dunaliella Salina As a Bioreactor

REQUEST FOR REFUND

Commissioner of Patents
Washington, D.C. 20231

Sir:

Enclosed herewith is a Statement Claiming Small Entity Status under 37 C.F.R. §1.97(f) and 1.27(d) for Non-Profit Organization and 1.27(b) for Independent Inventor.

The above identified application was filed on November 29, 2001 and assigned Application Serial No. 09/997,445. The filing fee submitted, \$1020.00, was that for a non-small entity.

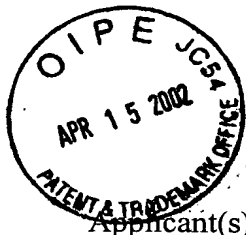
Now that the signed Statement Claiming Small Entity Status is submitted, please refund 50% of the total paid to Deposit account no. 13-4500 order no. 2896-4005. A duplicate copy of this request is attached.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Maria C.H. Lin
Registration No. 29,323

Dated: April 15, 2002

Mailing Address:
MORGAN & FINNEGAN, L.L.P.
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 Telecopier



PATENT
DOCKET NO. 2896-4005
Express Mail #EV 062 746 614US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Lexun Xue et al.
Serial No. : 09/997,445
Filing Date : November 29, 2001
Group Art Unit: : TBA
For : Transgenic Dunaliella Salina As a Bioreactor

Commissioner For Patents
Washington, D.C. 20231

BOX: Missing Parts

**RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION**

In response to the Communication dated February 21, 2002 entitled "Notice To File Missing Parts of Application", Applicants submit herewith an executed Declaration and Power of Attorney and English translation of the application. Payment of the applicable surcharge of \$130.00 for small entity, is enclosed together with a copy of Notice.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment which may be required for this response to Deposit Account No. 13-4500. Order No. 2896-4005. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

Maria C.H. Lin
Registration No. 29,323

Dated: April 15, 2002

Morgan & Finnegan
345 Park Avenue
New York, New York 10154
(212) 758-4800
(212) 751-6849 (Fax)

0997445-014500